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Date: April 5, 2001

Signature: Carol Felenstein

CLIFFORD CHANCE ROGERS & WELLS LLP

Docket No.: 3499-59

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT OPERATION

In re Application of

Robert Mancini, et al.

Serial No.: 09/526,606

Filed: March 16, 2000

Date: April , 2001

Group Art Unit No.: 2768

Examiner: To Be Assigned

For: **ONLINE SALES RISK MANAGEMENT SYSTEM**

Commissioner of Patents  
Washington, DC 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

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AUG 27 2001

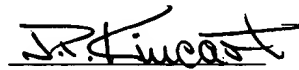
**Technology Center 2100**

Upon review of the Official Filing Receipt (copy enclosed), Applicant noticed that priority was not claimed. Priority should be claimed to Serial No. 60/179,373, filed January 31, 2000. Please note page 1 of application claiming "benefit of provisional application entitled 'Retail System Currency Exchange', filed January 31, 2000. . ."

Applicant respectfully requests that a Corrected Filing Receipt be issued.

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this request, or to credit any overpayment to **Deposit Account No. 50-0521**. A duplicate copy of this document is enclosed.

Respectfully submitted,



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Bib Data Sheet

CONFIRMATION NO. 1911

<b>SERIAL NUMBER</b> 09/526,606	<b>FILING DATE</b> 03/16/2000 <b>RULE</b>	<b>CLASS</b> XXX	<b>GROUP ART UNIT</b> 2899	<b>ATTORNEY DOCKET NO.</b> 3499-59
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**APPLICANTS**  
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**\*\* CONTINUING DATA \*\*\*\*\***  
 THIS APPLN CLAIMS BENEFIT OF 60/179,373 01/31/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

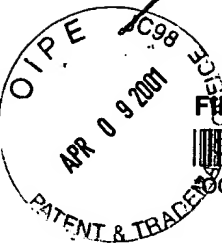
**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
 \*\* 05/22/2000

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NY	SHEETS DRAWING 7	TOTAL CLAIMS 36	INDEPENDENT CLAIMS 10
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**  
27383

**TITLE**  
Online sales risk management system

<b>FILING FEE RECEIVED</b> 1654	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/526,606	03/16/2000	2768	0	3499-59	7	36	10

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Date Mailed: 07/20/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**Robert S. Mancini, Bedford, NY ;  
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Arun M. Gunewardena, New York, NY ;  
Sharon Greener, Rumson, NJ ;  
Effie Konstantine Anagnostopoulos, New York, NY ;**Continuing Data as Claimed by Applicant****Foreign Applications**

If Required, Foreign Filing License Granted 05/22/2000

**Title**

Online sales risk management system

**Preliminary Class**

705.

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## ONLINE SALES RISK MANAGEMENT SYSTEM

### CROSS REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of provisional application entitled "Retail System Currency Exchange," filed January 31, 2000, bearing the Serial No. 60/179,373 the contents of which are relied upon and incorporated by reference.

### BACKGROUND

The present invention relates generally to an on-line sales system. In particular, the present invention relates to a method-end system for managing risk associated with transacting commerce in locales utilizing different currencies.

The burgeoning use of the internet or other dispersed computer communications networks has created a surge in on-line sales transactions. Presently it is most common for an on-line retailer or other sales agent to conduct sales in one country with one currency risk. Few of these retailers are prepared to manage currency risk or offer their products in multiple currencies. Consequently, when they offer their product to consumers around the world, the consumers are required to pay in the retailer's local currency. It would be useful to have a product which will enable retailers or other business agents to offer prices in a variety of currencies.

As retailers or other sales agents expand to a global market spanning several countries, the sales agents will be presented with the risks associated with fluctuating currency prices. Typically, most e-commerce businesses are not well situated to adequately manage such currency risks.

Presently, credit card issuers including, for example, banks or corporate entities offer conversions for different currencies used to make an on-line sale. However, credit card arrangements do not lock in a currency price for a given period of time sufficient to enable an e-commerce retailer to sufficiently predict the impact of foreign currency exchange. In addition, a credit card issuer typically demands a relatively wide price difference for a currency exchange service, as compared to a market spot price.

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